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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Rodney S. Tucker et al.

Serial No.: 09/684,371

Examiner: Not Assigned

Filing Date: Oct. 5, 2000

Group Art Unit:

Title: SYSTEM AND METHOD FOR OPTICAL HETERODYNE DETECTION OF AN OPTICAL SIGNAL INCLUDING OPTICAL PRE-SELECTION THAT IS ADJUSTED TO ACCURATELY TRACK A LOCAL OSCILLATOR SIGNAL

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on Dec. 15, 2001. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

() Statutory basic filing fee () Utility \$710.00 () Design \$320.00

() Additional claim fees of \$

(X) Missing Parts Surcharge \$130.00

() A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

(X) one month \$110.00
() two months \$390.00
() three months \$890.00
() four months \$1390.00

Please charge to Deposit Account 50-1078 the sum of \$240.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

(X) A duplicate copy of this transmittal letter is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: Feb. 27, 2001

Typed Name: Linda A. Iimura

Signature: Linda A. Iimura

Respectfully submitted,

Rodney S. Tucker et al.

By

Ian Hardcastle

Attorney/Agent for Applicant(s)
Reg. No. 34,075

Date: Feb. 27, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/684,371	10/05/2000	Rodney S. Tucker	10001757-1

022878

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FORMALITIES LETTER



GC000000005623736*



Date Mailed: 12/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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